Audit & Governance · AG9

Governance, Risk & Compliance

Madrid · 28 Dec 2026 - 1 Jan 2027

Date	Venue	Duration
28 Dec 2026 - 1 Jan 2027	**Madrid**	**1 Week**
Fee	**Ref**	**Language**
£5,000 GBP	**AG9**	**English**

Introduction

One of the ways that we can combat Fraud within our business is by the strong use of Internal Control. In this section, we will look at how Internal Control of people, processes, and technologies must all play a role in the fight against fraud.

This Internal Control and Fraud Prevention training seminar highlights the challenges that every business and individual faces in ensuring safe Internal Controls. Looking at all areas that can be affected by Fraud, it will highlight the risks now faced by the world of business and methods to protect us against them.

This is a challenging and extremely practical training course that creates enormous benefits for your company and is a crucial component of developing ethical experience, setting reasonable standards of behaviour, and risk mitigation. The risk is not something that should be avoided. Rather, it is an opportunity for an organisational shift, creating new competitive advantages, addressing threats to an organisation, and incorporating it into imperative planning.

This is an intensive and deeply practical training course that will provide officials at all levels with skills in risk management, creating a compliance culture, and strengthening relationships with key stakeholders.

This Governance, Risk, and Compliance (GRC) training course also covers issues and breach management, compliance project production and management, compliance ethics culture, and obligations and legislation.

Course Objectives of Governance, Risk & Compliance

By the end of this training course, you will be ready to:

- Know the concepts of governance, risk management, and compliance (GRC)
- Know the regulatory environment
- The reason for governance is essential for effective regulatory compliance risk management
- Identify high-risk fields and compliance in your business

- Apply for a Risk-based Access
- The role of the compliance officer and his team
- Improve and implement governance, risk management, and compliance strategic plan
- Understand, explain, and enhance organisational culture as it relates to performance, risk, and compliance
- Instrument governance, risk management, and compliance processes that are effective and efficient
- Using a risk-based audit strategy

Course Methodology of Governance, Risk & Compliance

Participants in this training course will receive a summary of the governance, risk management, and compliance concept in your organisation. The training methodology includes case studies, group live instruction, presentation, and analysis of worked examples, open-ended group discussion, and interactive participation is approved.

Organisational Impact of Governance, Risk & Compliance

This training course is of direct relevance to governance, risk management, and compliance and as a result, the businesses will benefit exceedingly from their employee’s participation.

The business will gain:

- More effective support for governance, risk management, and agreement team
- Greater relevance and safety of analysing the regulatory bodies
- More imperative thinking and focus on performance
- More efficient use of developing a successful GRC plan
- Development of staff for increased responsibility
- Raises risk and compliance officers through education

Personal Impact of Governance, Risk & Compliance

This training course will be of personal benefit to delegates by providing you with:

- A better comprehension of the context and significance of governance, risk management, and compliance
- The most excellent practices in evading situations that may make their company professionally liable
- The tools to identify any violations of company policies
- Greater knowledge of industry practices, problems, and solutions
- Raised understanding and assurance to complete tasks successfully
- Grown self-confidence and motivation at work
- Greater job achievement in providing quality support to management
- Greater understanding to supervise, improve and motivate colleagues

Target Audience of Governance, Risk & Compliance

This training course is designed to give the experience and skills, giving details of the latest policies and procedures to:

- Officials and Board Members

- Internal Auditors
- Compliance Officers / Managers and their Deputies
- Governance Professionals
- Risk Managers
- GRC Professionals
- Legal Professionals
- Regulatory Representatives
- Company Secretaries
- IT Professionals allotted with governance, risk management, and compliance
- Managers qualified for governance, risk management, and compliance activities

Course Outlines of Governance, Risk & Compliance

DAY 1

Compliance & Regulatory Environment

- Introduction Governance, Risk and Compliance (GRC)
- An Overview of the Regulatory Environment
- The Objectives of Financial Services Regulation
- Key Regulations that Impact (transnational) Finance Institutions
- International Regulation
- Know Your Regulator
- Compliance Environment in Your Jurisdiction
- Compliance Function in Your Organisation
- Compliance Risk Assessments
- Fraud Risk Assessment
- Organisational Risk Assessment
- Compliance Gap Analysis

DAY 2

Risk

- Main Types of Risk Classes that Firms Face and Must Cope With
- Characteristics of the Main Risk Classes
- Fundamental Concepts of Each of the Risk Classes
- Understanding a Risk Management Approach
- Risk Management Approach
- The Need for Internal Controls
- Identify, Mitigate, and Control Risks
- Approaches to Risk Assessment
- Develop an Appropriate Strategy to Detect Desired and Undesirable Events

DAY 3

Financial Crimes

- Money Laundering Laws
- Preventing Fraud
- Bribery and Corruption
- Market Abuse and Insider Dealing
- The Risk-based Approach to Money Laundering / Terrorist Financing

- Identifying High-risk Indicators - Understand what to do with the information and whom to escalate it to
- Suspicious Activity Reports (SARs)
- Sanctions

DAY 4

Governance

- National & International Environment for Corporate Governance
- Understanding the External / Internal Context of Your Organisation
- Dealing with Stakeholders
- Board of Director’s Responsibilities
- Audit Committee
- Corporate Social Responsibility
- The Role of Public Disclosure
- Supervisors and Transparency
- Best Practices in Communication with the Right People

DAY 5

Governance, Risk Management, and Compliance

- Concepts of the Model of 'Governance', 'Risk' and 'Compliance' (GRC)
- Principles of Governance, Risk, and Compliance
- Governance, Risk Management, and Compliance Roles and Responsibilities
- Governance, Risk Management, and Compliance Integrated Approaches and their Key Enablers
- Role and Responsibilities of Board and Committees
- Selective Best Practices
- Building an Effective Model of Governance, Risk, and Compliance in Your Organisation

Register or ask about this course online

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