Public Sector Management · PM165

Governance Arms of Risk Management

Combating Terrorism Finance, Anti-Money Laundering, Compliance, & Internal Audit

Duration	Fee	Category
1 Week	**from £1,400**	**Public Sector Management**
Ref	Language	Certificate
PM165	**English**	**Certificate of attendance**

Introduction

This cutting-edge Governance Arms of Risk Management training programme aims to improve the roles of Risk Management, Audit, Compliance, and Anti-Money Laundering/Combating Terrorism Finance functions within the Corporate Governance parameters in order to achieve cooperation and improve process and harmony among these functions.

Take the guesswork out of your decision-making and boost your bottom line. Increase your credibility, fulfil your desire to be the best, and emerge as your company's hero.

This training course will highlight:

- Enhanced Alignment to the Vision, Mission, and Importance of the Organisation
- Improved Decision-making Agility
- Enhanced Accountability for Key Objectives, Initiatives, and Risks
- what is Corporate Governance exactly?
- The Triangle of Internal Audit, Compliance & Risk
- Risk Taxonomies & Risk Catalogue
- How did Risk-based Internal Auditing evolve from Internal Auditing?
- Risk-based Compliance

Course Objectives of Governance Arms of Risk Management

This training course in the Governance Arms of Risk Management will help participants understand and improve their risk management, compliance, and audit skills, knowledge by assimilating the fundamentals of the new risk-based audit and risk-based compliance methodology, allowing them to complete their related assignments and professionally report them.

At the end of this training course, you will learn to:

- VALIDATE your understanding of CG capabilities, and showcase your expertise in planning, evaluating, and enhancing CG to reach Principled Performance
- COMPREHEND the essential codes and techniques of CG
- UNDERSTAND organisational risk, risk appetite, and risk tolerance

- ACQUIRE the capability to relate internal audit planning to organisational risk considerations, international frameworks, and Standards to deliver an organisational distinguishing risk-focused internal audit strategy
- GAIN improved capability to estimate threats, incorporate risk features into the internal audit and compliance programmes
- LEARN how effective CG implementation enables the assessment, monitoring, and reporting of governance risks in support of decision-making, business performance, and adherence to regulations, policies, and mandates
- GAIN a comprehensive and effective culture of Compliance and ERM
- LEARN Designing and Implementing an Effective AML and CTF Programme

Course Methodology of Governance Arms of Risk Management

The instructor will use several established learning techniques and facilitation strategies to provide complete training on the topics covered by the course outline to participants in this Governance Arms of Risk Management training course. Group discussions, quizzes, and group presentations are techniques to be used in this training course.

Organisational Impact of Governance Arms of Risk Management

This Governance Arms of Risk Management training course provides an up-to-date perspective on the domains of corporate governance, risk management, audit, and compliance, which is particularly useful for individuals who are planning to become leaders in their organisations shortly. In just one training session, you'll be able to master risk management, audit and compliance, and anti-money laundering/counter-terrorism finance.

Personal Impact of Governance Arms of Risk Management

This Governance Arms of Risk Management training course was designed to help participants improve their understanding of Corporate Governance principles, committees, and regulations by enhancing their adaption and integration of the concept and core components of Corporate Governance.

Target Audience of Governance Arms of Risk Management

This comprehensive Governance Arms of Risk Management training programme is very useful for Managers in all diversified lines of business who are planning to apply proper corporate governance practices within their culture and operations, such as Banks and Financial organisations, and private & government entities.

This training course is suitable for a wide range of professionals but will greatly benefit:

- Officers involved in the process of Risk Management
- Compliance Officers
- Officers involved in the process of Anti-Money Laundering
- Officers involved in the process of Combating Terrorism Finance
- Auditors and Internal Control Managers
- Officers involved in the process of Corporate Governance

Course Outlines of Governance Arms of Risk Management

DAY 1

Corporate Governance, what is it exactly?

- Corporate Governance: Definition, Concept, Rules, Principles
- OECD Principles of CG
- Corporate Governance: Role of the Board of Directors and Management
- Responsibilities of Top Management and Board in Maintaining Good CG
- Effective Executive Management & Board Members
- Disclosure and Transparency

Corporate Governance, how to enforce it practically?

- Mechanisms, Processes & Relations by which corporations are controlled
- Difference between Executive and Non-Executive Board Members
- Board’s Committees: Roles and Responsibilities
- Role of Audit Committee / Risk Management Committee
- Remuneration Committee: A Sensitive Role
- Five Steps to Improve Corporate Governance
- Introduction of Sarbanes-Oxley Act in USA in 2002 (Enron / WorldCom)

DAY 2

Risk Catalogue & Risk Taxonomies

- Introduction to Different Types of Risks Categories
- Risk Management Universe
- Risk Management Framework
- Fundamental Concepts of Enterprise Risk Management
- Identifying Credit Risks
- Identifying Financial Risks
- Identifying Operational Risks
- Identifying Legal Risks
- Identifying Political / Sovereign Risks
- Identifying Ethical Risks / Identifying Fraudulent Risks
- Identifying Non-compliance Risks
- Identifying Reputational Risks

DAY 3

The Risk, Compliance & Internal Audit Triangle

- The Fundamental Differences and Importance of its Convergence
- Overlapping: Healthy or Wasteful?
- Cooperation & Collaboration among these Functions
- Corporate Governance Committees: Audit Committee is No. 1
- Effective Executive Management & Board Members

The Evolution of Internal Auditing towards Risk-based Internal Auditing

- What is Risk-based Internal Auditing?
- The Transition from System-based to Risk-based Internal Auditing

- Different Approaches to Internal Auditing
- Risk-Based Audit Approach
- Risk-Based Audit Methodology
- How and why audit units move into risk based audit programmes?
- Audit the Control Environment

DAY 4

Prepare Risk-Based Internal Audit Plan and execute it

- Determination of Internal Auditing Universe
- Risk Mapping
- Risk Registration
- Developing Internal Auditing Plan
- Preparation of a Clear Plan to be Approved by Audit Committee
- Efficiency in the Non-recurrence of Audit Notes
- Audit References to Policies and Manual of Operational Rules & Regulations
- Identification and Classification of Audit Risk

Compliance Risk Assessment & Management

- Definition, Responsibilities and Goals
- Risk Assessment and Management Methodology
- Surveying for Threats & Assessing Vulnerabilities
- Risk Tolerance and Residual Risk
- Designing Controls for Risk Mitigation-Self-Assessments
- Review Design and Auditor Selection
- Programme Development / Computer-assisted Auditing Techniques

DAY 5

Money Laundering

- Definition, Responsibilities and Goals
- Money Laundering Threats and Methods
- Terrorist Financing Threats and Methods
- International Standards for Anti – Money Laundering / Combatting Terrorism Finance

Compliance Programme Governance for Anti – Money Laundering / Combatting Terrorism Finance

- Basic Terms and Definitions
- Regulatory Compliance Management Frameworks
- Regulatory Compliance by Design and Tone at the Top
- Roles and Responsibilities
  - Board of Directors
  - Senior Management
  - Business Unit Leaders
  - Compliance
  - Legal
  - Internal Audit

Dates & Venues

Date	Venue	Duration	Fee
23 - 27 Nov 2026	Baku	1 Week	£5,250
29 Nov 2026 - 3 Dec 2026	Online	1 Week	£1,400
7 - 11 Dec 2026	Madrid	1 Week	£5,000
20 - 24 Dec 2026	Dubai	1 Week	£3,650
27 - 31 Dec 2026	Istanbul	1 Week	£5,000
28 Dec 2026 - 1 Jan 2027	Barcelona (Spain)	1 Week	£5,000
28 Dec 2026 - 1 Jan 2027	Kuala Lumpur	1 Week	£5,000

Register or ask about this course online

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