Audit & Governance · AG238

# Governance Spindles of Risk Management

Compliance, Internal Audit and Anti-Money Laundering & Resisting Terrorism Finance

| Duration | Fee | Category |
|---|---|---|
| **2 Weeks** | **from £5,000** | **Audit & Governance** |
| Ref | Language | Certificate |
| **AG238** | **English** | **Certificate of attendance** |

## Introduction

This innovative Governance Spindles of Risk Management training course proposes realizing and developing the functions of Risk Management, Compliance, Internal Audit, and Anti-Money Laundering & Terrorism Finance within the Corporate Governance parameters to manage cooperation among these functions and improve their process and harmony.

Remove the guesswork from your decision-making and deliver a higher bottom line. Increase your credibility, satisfy your desire to be the best, and become the business hero.

### This Training Course will highlight:

- Improved Alignment with Mission, Vision, and Values of the Organization
- Developed Decision-making Agility
- Developed Accountability for Key Objectives, Risks, and Initiatives
- Corporate Governance, what is it accurately?
- The Risk, Compliance & Internal Audit Triangle
- Risk Catalog & Risk Taxonomies
- The Evolution of Internal Auditing towards Risk-based Internal Auditing
- Risk-based Assent

## Course Objectives of Governance Spindles of Risk Management

This training course in Governance Spindles of Risk Management will allow the attendees to understand and foster their risk management, agreement, and audit skills and awareness, assimilating the fundamentals of the new risk-based audit & risk-based agreement methodology so that they can transfer out their related authorizations and report it in a highly professional method.

### At the end of this training course, you will get to:

- Prove your knowledge of CG capabilities, and showcase your expertise in preparation, assessing, and improving CG to achieve Principled Performance
- Understand the core principles and practices of CG

- Assume organizational risk, risk appetite, and risk tolerance
- Obtain the ability to link internal audit planning to organizational risk considerations, international frameworks, and Standards to provide an organizational-specific risk-focused internal audit plan
- Increase recovered the ability to evaluate risk, and incorporate risk attributes into the in-house audit and compliance programs
- Discover how effective CG implementation enables the assessment, monitoring, and reporting of governance risks in support of decision-making, business performance, and adherence to regulations, policies, and mandates
- Increase comprehensive and effective training in Compliance and ERM
- Discover Designing and Implementing an Effective AML and CTF Program

## Course Methodology of Governance Spindles of Risk Management

Participants in this Governance Arms of Risk Management training course will get thorough training on the subjects covered by the course outline with the lecturer using a variety of proven adult training and facilitation techniques. Course methodology includes group discussions, group presentations, etc.

## Organizational Impact of Governance Spindles on Risk Management

This Governance Spindles of Risk Management training course provides an up-to-date insight into the domain of corporate governance, risk management, audit, and compliance, principally for those who are looking to become executives in their organizations very presently.

Master Risk Management, Audit & Compliance & Anti-Money Laundering / Combatting Terrorism Finance in one training assembly.

## Personal Impact of Governance Spindles on Risk Management

This Governance Spindles of Risk Management training course trains at developing the adaptation and integration of the theory and fundamental elements of Corporate Governance to augment their professional experience in Corporate Governance principles, committees, and requirements.

## Target Audience of Governance Spindles of Risk Management

This comprehensive Governance Spindles of Risk Management training course is very useful for Managers in all diversified lines of business that are planning to apply proper corporate governance practice within their culture and operations, such as Banks and Financial organizations, and private & government entities.

### This training course is suitable for a wide range of professionals but will exceedingly benefit:

- Officers included in the process of Risk Management
- Compliance Officers
- Officers included in the method of Anti-Money Laundering
- Officers included in the method of Combating Terrorism Finance

- Auditors and Internal Control Managers
- Officers included in the method of Corporate Governance

# Course Outlies of Governance Spindles of Risk Management

## DAY 1

### Risk Catalog & Risk Taxonomies

- Introduction to Different Types of Risks Categories
- Risk Management Universe
- Risk Management Framework
- Fundamental Concepts of Enterprise Risk Management
- Identifying Credit Risks
- Identifying Financial Risks
- Identifying Operational Risks
- Identifying Legal Risks
- Identifying Political / Sovereign Risks
- Identifying Ethical Risks / Identifying Fraudulent Risks
- Identifying Non-compliance Risks
- Identifying Reputational Risks

## DAY 2

### Corporate Governance, what is it exactly?

### Corporate Governance: Definition, Concept, Rules, Principles

- OECD Principles of CG
- Corporate Governance: Role of the Board of Directors and Management
- Responsibilities of Top Management and Board in Maintaining Good CG
- Effective Executive Management & Board Members
- Disclosure and Transparency

### Corporate Governance, how to enforce it practically?

- Mechanisms, Processes & Relations by which corporations are controlled
- Difference between Executive and Non-Executive Board Members
- Board’s Committees: Roles and Responsibilities
- Role of Audit Committee / Risk Management Committee
- Remuneration Committee: A Sensitive Role
- Five Steps to Improve Corporate Governance
- Introduction of the Sarbanes-Oxley Act in the USA in 2002 (Enron / WorldCom)

## DAY 3

### The Risk, Compliance & Internal Audit Triangle

- The Fundamental Differences and Importance of its Convergence
- Overlapping: Healthy or Wasteful?
- Cooperation & Collaboration among these Functions

- Corporate Governance Committees: Audit Committee is No. 1
- Effective Executive Management & Board Members

### The Evolution of Internal Auditing towards Risk-based Internal Auditing

- What is Risk-based Internal Auditing?
- The Transition from System-based to Risk-based Internal Auditing
- Different Approaches to Internal Auditing
- Risk-Based Audit Approach
- Risk-Based Audit Methodology
- How and why do audit units move into risk-based audit programs?
- Audit of the Control Environment

## DAY 4

### Money Laundering

- Definition, Responsibilities, and Goals
- Money Laundering Threats and Methods
- Terrorist Financing Threats and Methods
- International Standards for Anti–Money Laundering / Combatting Terrorism Finance

### Compliance Program Governance for Anti-Money Laundering / Combatting Terrorism Finance

- Basic Terms and Definitions
- Regulatory Compliance Management Frameworks
- Regulatory Compliance by Design and Tone at the Top
- Roles and Responsibilities
  - Board of Directors
  - Senior Management
  - Business Unit Leaders
  - Compliance
  - Legal
  - Internal Audit

## DAY 5

### Prepare a Risk-Based Internal Audit Plan and execute it

- Determination of Internal Auditing Universe
- Risk Mapping
- Risk Registration
- Developing an Internal Auditing Plan
- Preparation of a Clear Plan to be approved by the Audit Committee
- Efficiency in the Non-recurrence of Audit Notes
- Audit References to Policies and Manual of Operational Rules & Regulations
- Identification and Classification of Audit Risk

### Compliance Risk Assessment & Management

- Definition, Responsibilities, and Goals

- Risk Assessment and Management Methodology
- Surveying for Threats & Assessing Vulnerabilities
- Risk Tolerance and Residual Risk
- Designing Controls for Risk Mitigation-Self-Assessments
- Review Design and Auditor Selection
- Program Development / Computer-assisted Auditing Techniques

### Day 6

### Compliance Risk Assessment & Management

- Understanding compliance risk in-depth.
- Methodology for compliance risk assessment.
- Surveying for threats and assessing vulnerabilities.
- Designing controls for risk mitigation and self-assessments.

### Day 7

### Mechanisms and Processes for Corporate Governance

- In-depth exploration of the mechanisms, processes, and relations that control corporations.
- Differentiating between executive and non-executive board members.
- Roles and responsibilities of board committees, including audit and risk management committees.
- Introduction to the Sarbanes-Oxley Act and its impact on corporate governance.

### Day 8

### Internal Audit Approach and Methodology

- Understanding risk-based internal auditing.
- Transitioning from system-based to risk-based internal auditing.
- Different approaches to internal auditing.
- Developing a risk-based audit plan and methodology.

### Day 9

### Anti-Money Laundering (AML) and Combating Terrorism Finance (CTF)

- Defining money laundering and its threats and methods.
- Defining terrorist financing and its threats and methods.
- International standards for AML and CTF.
- Compliance program governance for AML and CTF.

### Day 10

### Preparation and Execution of Risk-Based Internal Audit Plan

- Determination of the internal auditing universe.
- Risk mapping and registration.
- Developing an internal auditing plan and gaining approval.
- Efficiency in the non-recurrence of audit notes.

## Dates & Venues

| Date | Venue | Duration | Fee |
|---|---|---|---|
| 22 Nov 2026 - 3 Dec 2026 | Manama (Bahrain) | 2 Weeks | £5,840 |
| 22 Nov 2026 - 3 Dec 2026 | Cairo | 2 Weeks | £5,000 |
| 29 Nov 2026 - 10 Dec 2026 | Istanbul | 2 Weeks | £8,000 |
| 6 - 17 Dec 2026 | Dubai | 2 Weeks | £5,800 |
| 7 - 18 Dec 2026 | London | 2 Weeks | £8,000 |
| 14 - 25 Dec 2026 | Casablanca | 2 Weeks | £5,760 |
| 14 - 25 Dec 2026 | Kuala Lumpur | 2 Weeks | £8,000 |
| 20 - 31 Dec 2026 | Amman (Jordan) | 2 Weeks | £5,000 |
| 27 Dec 2026 - 7 Jan 2027 | Qatar | 2 Weeks | |
| 28 Dec 2026 - 8 Jan 2027 | Madrid | 2 Weeks | £8,000 |

## Register or ask about this course online

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